

Review Tool for OAC: Prevention and Early Intervention Plans DRAFT

| Source | Page | Criterion | Met? | Comments, feedback, information needed |
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| Community Planning Process | | | | |
| Guidelines | 12 | Applied lessons learned from CSS planning and built on successes | Y N | |
| Guidelines | 12, 14 | Offered appropriate targeted outreach, incentives and supports to maximize access to community planning, including to individuals and organizations representing unserved and underserved ethnic and cultural communities | Y N | |
| Guidelines Govt. Partners | 2-3, 9, 11-12, 14 5 (a) | Demonstrated meaningful involvement and engagement of diverse communities and potential individual participants, their families and other community stakeholders | Y N | |
| Guidelines | 9, 12-13 | Planning participants included key strategic sectors, systems, organizations and people that contribute to mental health outcomes in successful PEI programs, including underserved communities, individuals with serious mental illness and/or their families and caregivers, providers of mental health services, education, health, social services and law enforcement | Y N | |
| Guidelines | 11 | Planning included an assessment of community capacity and strengths | Y N | |
| Guidelines | 14 | Planning included an assessment of needs of underserved communities | Y N | |
| Guidelines | 12, 14 | Planning included strategy for ongoing diverse, representative stakeholder input into PEI | Y N | |

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| Guidelines | 3, 12, 14 | Documents that the perspective and priorities of diverse, representative stakeholders including issues raised in public hearing and other elements of community planning are reflected in PEI plan | Y N | |
| Guidelines | 3 | Documents that funding decisions were driven by needs and priorities of potential service users | Y N | |
| PEI Plans | | | | |
| Guidelines | 5, 11, 17 | Identifies key community mental health need(s) and clear rationale for their selection | Y N | |
| Guidelines | 5, 11, 17 | Identifies priority population(s) and clear rationale for their selection | Y N | |
| Guidelines | 11 | Selected PEI programs are appropriate for key community mental health need(s) and identified priority population(s) | Y N | |
| Guidelines | 9, 11, 15, 16 | Specifies desired outcomes for individuals, programs/systems, and/or communities and clear rationale for their selection, consistent with documented community priorities | Y N | |
| Guidelines Govt. Partners | 4, 16, 17 5 (a), 8 (i) | Selected PEI program(s) have sound rationale and are likely to be effective and achieve defined outcomes | Y N | |
| Guidelines | 6-9 | Prevention program intervenes early to prevent or minimize negative impact of mental health problems | Y N N/A | |

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| Guidelines | 7-9 | Early intervention program (except as defined for individuals experiencing an at-risk mental state or first onset of a serious psychiatric illness with psychotic features) are of relatively low intensity and relatively short duration and are not intended to fill gaps in treatment and/or recovery services | Y N N/A | |
| Guidelines Govt. Partners | 2, 9, 16 8-9 (k) | Documents sound rationale for selection of service setting. Setting facilitates access, especially for unserved and underserved communities. Programs are delivered in a natural community setting unless there is a rationale for alternate accessible setting. | Y N | |
| Guidelines | 11 | Time frame for proposed PEI program is clear and appropriate | Y N | |
| Guidelines | 11 | Indicates appropriate staffing for proposed PEI program | Y N | |
| Guidelines | 3-4 | Promotes recovery and resilience | Y N | |
| Guidelines | 3 | Promotes consumer and family/caregiver choice | | |
| Guidelines Govt. Partners | 2, 16 6 (c) | Contributes to reducing mental health disparities across socio/economic and racial groups; promotes cultural competence | Y N | |
| Guidelines Govt. Partners | 4 7 (g) | Helps individuals and families obtain and/or link to other services and supports (beyond mental health), including basic needs such as food, housing, physical health, employment, income, safety and sobriety; provides or builds toward integrated service delivery | Y N | |
| Guidelines | 9 | Includes sound method to identify and link individuals and families in need of additional mental health assessment and treatment to appropriate services | Y N | |

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| Guidelines Govt. Partners | 4, 9, 14 7 (g) | Documents collaboration with community organizations, including all relevant required sectors, in design and implementation of PEI programs | Y N | |
| Guidelines Govt. Partners | 2 7 (f) | Incorporates or creates critical links with people in the best position to recognize early signs of mental illness and intervene | Y N | |
| Guidelines Govt. Partners | 1 7 (g) | Indicates appropriate coordination with other MHSA components | Y N | |
| Guidelines Govt. Partners | 9-10, 12, 19 9 (l) | PEI program is sufficiently differentiated from activities that could be funded by CSS | Y N | |
| Guidelines | 16 | Directs at least 51% of budget to programs for children and youth 0-25 (except small counties) | Y N N/A | |
| Guidelines | 9, 19 | PEI program is consistent with non-supplant requirements | Y N | |
| Guidelines Govt. Partners | 2, 21-22 6 (d, e) | Programs normalize and de-stigmatize seeking help and use strategies likely to reduce discrimination related to mental illness and emotional disturbance | Y N | |
| Guidelines | 3, 8, 11 | Includes approaches that assess for, promote and utilize individual, community and cultural strengths | Y N | |
| Guidelines | 3, 9 | Promotes active involvement of program participants and families in implementing and evaluating programs | Y N | |
| Guidelines Govt. Partners | 2 8 (j) | Plans are user-friendly and accessible | Y N | |

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| Guidelines | 10 | Includes plan to transfer a CSS-funded activity to PEI for activities that meet PEI criteria | Y N N/A | |
| Expenses, Budget | | | | |
| Guidelines | 3, 11, 19, 22 | Planned PEI program is cost-effective and appropriate for proposed budget; plan includes necessary costs for implementing and evaluating program and interventions | Y N | |
| Guidelines | 19 | All requested expenses are for allowable purposes | Y N | |
| Guidelines Govt. Partners | 4, 9, 17, 20, 24 5 (b) | Includes sound plan to leverage additional resources and/or funding | Y N | |
| Accountability and Evaluation | | | | |
| Guidelines Govt. Partners | 4, 11 8 (h) | Adequately describes proposed method to demonstrate outcomes and advance program improvement and learning | Y N | |
| Guidelines MHSA Govt. Partners | 21 5840 (d) 10 (7) | Interventions promote priority outcomes specified in MHSA (reduction of school failure, homelessness, prolonged suffering, unemployment, incarceration, removal of children from homes and suicide) | Y N | |
| Guidelines Govt. Partners | 26 8 (h) | Adequately describes planned outcome evaluation of one PEI program | Y N | |